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13281  
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040504

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	PICO-0054-1
First Inventor	JEWELL, Jack L.
Title	OPTO-MECHANICAL ASSEMBLY
Express Mail Label No.	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 40]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- Oath or Declaration [Total Sheets       ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
- Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Associate POA

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: 10/351,401

Prior application information:

Examiner Palmer, Phan T HArt Unit: 2874

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number: 22506      OR       Correspondence address below

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Name (Print/Type)	Ajay A. Jagtiani	Registration No. (Attorney/Agent)	35,205
Signature	<u>Ajay A. Jagtiani</u>		
Date	April 5, 2004		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
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19270 10/81703  
U.S.P.T.O.

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040504

# Patent Fee Transmittal for FY 2004

Applicant Claims Small Entity Status 37 C.F.R. 1.27

**TOTAL AMOUNT OF PAYMENT** **\$385.00**

Application Number	To be assigned
Filing Date	Concurrently herein
Named Inventor	JEWELL, Jack L.
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	PICO-0054-1

## FEE CALCULATION

### 1. Basic Filing Fee

Large Entity	Small Entity	Description	Paid
Code (\$)	Code (\$)		
1001 770	2001 385	Utility filing fee	\$ 385
1002 340	2002 170	Design filing fee	\$ -
1003 530	2003 265	Plant filing fee	\$ -
1004 770	2004 385	Reissue filing fee	\$ -
1005 160	2005 80	Provisional filing fee	\$ -
1609 730	2609 365	IPEA U.S.	\$ -
1610 770	2610 385	ISA U.S.	\$ -
1611 1,080	2611 540	USPTO not ISA or IPEA	\$ -
1612 100	2612 50	Claims Meet PCT Art. 33-IPEA/US	\$ -
1613 920	2613 460	Filing with EPO or JPO SR	\$ -
<b>Basic Fee Subtotal</b>			<b>\$ 385</b>

### 2. Extra Claim Fee

#### a. Claims as Filed

Total Claims	Independent	Multiple Dependent	Extra Claims	Large Entity	Small Entity	Paid
0	1		= 0	1202 18	2202 9	\$ -
-20	-3		x	1201 86	2201 43	\$ -
				1203 290	2203 145	\$ -

#### b. Claims as Amended

Total Claims	Independent	After Amnt	Highest Paid	Present Extra	Large Entity	Small Entity	Paid
0	0	-	0	*	1202 18	2202 9	\$ -
0	0	-	0	** = 0	1201 86	2201 43	\$ -
					1203 290	2203 145	\$ -

First Presentation of Multiple Dependent  
 \* Less than 20, enter 20 \*\* Less than 3, enter 3

**Extra Claim Fee Subtotal** **\$ -**

### 3. Additional Fees

Large Entity	Small Entity	Description	Paid
Code (\$)	Code (\$)		
1251 110	2251 55	Extension for reply first month	\$ -
1252 420	2252 210	Extension for reply second month	\$ -
1253 950	2253 475	Extension for reply third month	\$ -
1254 1,480	2254 740	Extension for reply fourth month	\$ -
1255 2,010	2255 1,005	Extension for reply fifth month	\$ -
1401 330	2401 165	Notice of Appeal	\$ -
1402 330	2402 165	Filing Brief in Support of Appeal	\$ -
1403 290	2403 145	Request for Oral hearing	\$ -
1460 130	1460 130	Petitions to Commissioner	\$ -
1451 1,510	1451 1,510	Petition - public use proceeding	\$ -
1452 110	2452 55	Petition to Revive - Unavoidable	\$ -
1453 1,330	2453 665	Petition to Revive - Unintentional	\$ -
1501 1,330	2501 665	Utility Issue Fee	\$ -
1502 480	2502 240	Design Issue Fee	\$ -
1503 640	2503 320	Plant Issue Fee	\$ -
1504 300	1504 300	Publication Fee	\$ -
1814 110	2814 55	Statutory Disclaimer	\$ -
1811 100	1811 100	Certificate of Correction	\$ -

### 3. Additional Fees (cont.)

Large Entity	Small Entity	Description (cont.)	Paid
Code (\$)	Code (\$)		
8021 40	8021 40	Recording each Assignment	\$ -
1806 180	1806 180	Submission of IDS	\$ -
1801 770	2801 385	Request for Cont. Examination	\$ -
1809 770	2809 385	Filing Submission After Final	\$ -
1051 130	2051 65	Surcharge - late filing fee or oath	\$ -
1052 50	2052 25	Surcharge - late provisional fee	\$ -
1053 130	1053 130	Non-English Specification	\$ -
1807 50	1807 50	Processing Fee 37 CFR 1.17(q)	\$ -
1812 2,520	1812 2,520	Request for Ex Parte Reexamination	\$ -
1804 920	1804 920	Request Pub. of SIR prior	\$ -
1805 1,840	1805 1,840	Request Pub. of SIR after	\$ -
1810 770	2810 385	Each Add. Invention Examined	\$ -
1802 900	1802 900	Expedited Examination (Design)	\$ -
1551 910	2551 455	Maintenance Fees 3.5 years	\$ -
1552 2,090	2552 1,045	Maintenance Fees 7.5 years	\$ -
1553 3,220	2553 1,610	Maintenance Fees 11.5 years	\$ -
1554 130	2554 65	Surcharge - Late Payment 6 mos.	\$ -
Other fee			\$ -

**Additional Fee Subtotal** **\$ -**

## METHOD OF PAYMENT

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 April 1, 2004  
 Signature Date